## **SUMMARY**

Fracture toughness is a measure of the fracture resistance of materials, which is significantly affected by the constraint of crack tip. Constraint is the hindrance of material or structure on the plastic deformation at the crack tip. The constrained fracture theory has been developed for decades, single parameter, two parameter, three parameter and unified parameter theories have been proposed to characterize in-plane constraints, out-of-plane constraints and their composite constraints. However, these theories can only describe the stress or strain field at the crack tip and their calculation process is complicated. Therefore, how to put forward a unified constraint parameter that can characterize the in-plane and out-of-plane constraints and accurately describe the stress-strain field at the crack tip is the key of structural safety design and integrity evaluation.

In this paper, the effects of in-plane and out-of-plane constraints on the fracture toughness of G20Mn5QT cast steel were investigated by means of theoretical analyses, tests and numerical simulations. A new unified parameter  $A_{\text{EES}}$  that can characterize in-plane and out-of-plane constraints was proposed, and the correlation line between  $A_{\text{EES}}$  and dimensionless characteristic value  $J_{\text{IC}}/J_{\text{ref}}$  was established. Through introducing image processing and acoustic emission (AE) technique, the stage analyses of fracture toughness test were carried out. The main research contents and conclusions are as follows:

(1) The fracture toughness tests of compact tensile (CT) specimens with varying in-plane constraints were carried out. *J*-R curves of G20Mn5QT cast steel were firstly measured by Normalization Method. The characteristic values, namely initiation toughness  $J_i$  and engineering initiation toughness  $J_{IC}$ , were calculated according to ASTM E1820 and ISO 12315. The results show that with the strengthening of in-plane constraints, *J*-R curves drop and characteristic values decrease. The fracture morphology was analyzed by Scanning Electron Microscope (SEM). The fracture mechanism can be revealed from ductile fracture through mixed ductile and brittle fracture to brittle fracture with the enhancement of in-plane constraints.



(2) Image processing and AE technique were introduced to conduct stage analyses of fracture toughness tests. The crack evolution process and damage accumulation process of CT specimens are analyzed through using image processing and AE technique respectively. The fracture toughness test process changes from fatigue precracking through crack initiation, then slow, stable and rapid crack propagation to finally instability. The characteristic values at critical points are calculated through the synergistic analysis of AE parameters-time curves and load-displacement curves.  $J_{\rm B}$  and  $J_{\rm C}$  corresponding to the crack initiation (point B) and the onset of stable crack propagation (point C) determined by stage analyses represent the initiation toughness and engineering initiation toughness obtained from image processing and AE technique. It is found that  $J_{\rm B}$  is 10% ~ 30% smaller than  $J_{\rm i}$ , and  $J_{\rm C}$  is 8% ~ 40% smaller than  $J_{\rm IC}$ , proving that the characteristic values estimated through using the combination of image processing and AE technique are more conservative.



(3) The GTN model embedded in ABAQUS finite element software was used to predict *J*-R curves of G20Mn5QT using CT specimens with different in-plane and out-of-plane constraints. The effects of  $f_0$ ,  $f_N$ ,  $f_c$  and  $f_F$  in GTN model on load-displacement curves, *J*-R curves and crack evolution process were studied in detail for calibrating GTN model parameters precisely. It is demonstrated that the numerical results using the calibrated GTN model are in good agreement with the experimental measurements, which proves that GTN model is an convenient and effective tool for evaluating the fracture toughness of materials. The increase of in-plane or out-of-plane constraints makes *J*-R curves descent. There exists interaction between the in-plane and out-of-plane constraints. The lower in-plane constraints are not sensitive to the out-of-plane constraints.





(4) The static crack tip stress-strain field of CT specimens with different in-plane and out-of-plane constraints was analyzed through using ABAQUS. Based on the area under the equivalent stress-strain curve in the yield range of the constraint control zone on the crack growth path, a new in-plane and out-of-plane unified constraint parameter  $A_{\rm ESS}$  can be defined. There exists a sole linear relation between  $A_{\rm ESS}$  and the dimensionless characteristic value  $J_{\rm IC}/J_{\rm ref}$  regardless of in-plane or out-of-plane constraints or both.  $J_{\rm IC}/J_{\rm ref}$  can be used to characterize the effect of in-plane and out-of plane constraints on the fracture toughness of G20Mn5QT. It provides calculation parameters and theoretical guidance for the safety design and integrity evaluation of practical engineering components or structures.

